

October 28th, 2021

Mandarin Oriental • New York City



CFA Society
New York

ANNUAL DINNER



Evening Program

VIP Reception sponsored by Levin Capital Strategies, LP
(by invitation only)

Networking Reception sponsored by Lord Abbett

Dinner Program

Opening Remarks

Deepika Sharma, CFA

Director, Manager Research Team in Multi-Asset Strategies & Solutions, BlackRock

Chair, Board of Directors, CFA Society New York

Welcome Address

Marg Franklin, CFA

President and CEO, CFA Institute

Irving Kahn Lifetime Achievement Award Recipient

John Levin

Chief Executive Officer and Senior Portfolio Manager,
Levin Capital Strategies, LP

with introduction by

Scott Evans, CFA

Former Deputy Comptroller for Asset Management, Chief
Investment Officer, Office of the NYC Comptroller

2018 Irving Kahn Lifetime Achievement Award Recipient

accepted on behalf by

Robert Discolo, CFA, CAIA

CEO and CIO, River Partners Family Office

Dinner Is Served

Dinner Speakers

Keynote Address

Anastasia Titarchuk

Chief Investment Officer, New York State's Common Retirement Fund

with introduction by

Andrew Auslander, CFA, FRM

Treasurer, Board of Directors, CFA Society New York

Closing Remarks

Kelly Ye, CFA

Director of Research, IndexIQ

Board of Director, CFA Society New York

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Menu

First Course

Asian Mixed Greens

Avocado • Cucumber • Shaved Celery • Tomato
Daikon Sprouts • Soy Dressing

Entrée

Seared Beef Tenderloin

Pearl Onions • Wild Mushroom and Artichoke Ragout
Celeriac and Truffle Purée • Port Wine Reduction

Wine Selections

Sauvignon Blanc, Mud House, New Zealand
Pinot Noir, Hanging Vine, St. Helena, California

Dessert

Apple Cider Cake

Calamansi Curd • Cream Chantilly • Caramelized Apple Compote
Freshly Brewed La Colombe Coffee • Decaffeinated Coffee
Tealeaves Tea Selection

Letter from the Chair of the Board of CFA Society New York

Thank you for joining us for CFA Society New York's 84th Anniversary Dinner. We have a lot to be thankful for this year- experiencing the city buzzing back to life, the opportunity to re-connect safely with our friends and colleagues; and the resilience of our amazing volunteer leaders, members, and staff team.

The Irving Kahn Lifetime Achievement Award this year will be given to John Levin. We are pleased to honor John's lifetime of contributions to the industry. John is currently CEO of Levin Capital Strategies, LP and was a partner at Steinhardt Partners (1976-1982) and a partner and director of research at Loeb, Rhoades & Co. (1963-1976), which was acquired by American Express.

We also offer thanks to Scott Evans, CFA for introducing John. It is our tradition that previous Irving Kahn Lifetime Achievement Award winners introduce later winners. Scott received the honor in 2018.

Convening industry leaders that are having an outsized impact on our community is core to the value our Society delivers to members and the broader industry. We are thankful to be joined by Anastasia Titarchuk, Chief Investment Officer of New York State's Common Retirement Fund, as this year's keynote speaker.

Despite the challenges posed by the pandemic, CFA Society New York has continued to thrive. In the past twelve months, our staff team and volunteer leaders have convened more than 13,000 attendees across 122 events. This included our ongoing Asset Owner Series (AOS) and new events like the Society's inaugural Fintech Week this past April. And while the heart of our programming is driven by our New York area members, the Society's reach remains global. We make nearly all of our content available to other CFA Societies globally, and 25% of our recorded content views came from international users this past year.

Underscoring the Society's efforts is our partnership with the CFA Institute. We are thrilled to be joined at this year's dinner by Marg Franklin, CFA, the CFA Institute's CEO. We were very excited to be among the first Societies to help the Institute roll out its certificate program for ESG Investing and continue to partner in jointly promoting the highest standards of education, ethics, and professional excellence.

I am honored to be a part of this ecosystem of professionals – our members, our partners, and industry professionals here in New York and across the globe. As we look forward to the coming year, CFA Society New York will strive to remain at the forefront of providing relevant, quality education and networking opportunities that support our members' professional success and growth.

Sincerely,

A handwritten signature in black ink, appearing to read 'Deepika', with a large, stylized initial 'D' and a long, sweeping flourish extending to the right.

Deepika Sharma, CFA
Chair, Board of Directors

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The 2021 CFA Society New York Annual Dinner



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Irving Kahn Lifetime Achievement Award

The Irving Kahn Lifetime Achievement Award is presented to a member of the investment profession who has made distinctive contributions to the industry and whose exemplary achievement, excellence of practice, and true leadership have elevated the integrity and competence of the profession. This award was established in 2011 to honor the enduring contributions of Irving Kahn, one of CFA Society New York's founders and the first recipient of the award.



The recipient of the 2021 Irving Kahn Lifetime Achievement Award is John Levin.

After earning a degree from Yale Law School, John spent 13 years at Loeb, Rhoades & Company. Over his tenure, he rose to partner and Director of Research. Loeb, Rhoades & Co. was ultimately acquired by American Express.

John later left Loeb, Rhoades & Co to join hedge fund titan—and fellow Loeb, Rhoades & Co. alumnus—Michael Steinhardt at Steinhardt Partners, where he was named partner.

John later left Steinhardt to establish John A. Levin & Co., Inc. In 1997, Levin & Co. was acquired by Baker, Fentress & Company. John remained as Chairman and CEO until September 2005. In January 2006, John launched his current company, Levin Capital Strategies, LP which manages assets for institutional and private investors.

We are so pleased to honor John's contributions to the industry, the value he has created for investors, and in particular the role he has played as a mentor for industry professionals.

We also offer thanks to Scott Evans, CFA for introducing John. It is our tradition that previous Irving Kahn Lifetime Achievement Award winners introduce the current recipient. Scott was honored with the award in 2018.

We are proud to support CFA Society New York

Congratulations to the esteemed recipient of the
2021 Irving Kahn Lifetime Achievement Award

John Levin

Chief Executive Officer and Senior Portfolio Manager
Levin Capital Strategies, LP



standard
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LEVIN CAPITAL STRATEGIES, LP

**EVERYONE AT OUR FIRM IS THRILLED
THAT CFA SOCIETY NEW YORK MEMBERS
WILL CELEBRATE THE LIFETIME ACHIEVEMENT
OF OUR FOUNDER, JOHN LEVIN.**

**JOHN, OUR FIRM, AND EVERYONE AT THIS DINNER
SHARES A REVERENCE FOR INTELLECTUAL CURIOSITY
AND LIFELONG EDUCATION.**

L Levin
C Capital
S Strategies, LP



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2021 CFA Society New York
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Capital at risk



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2021 Interest Group Leaders

Special thanks to all leaders for their energy and service

Alternative Investments

Co-Chair, Anna Sembos, CFA, PhD, Senior Consultant, CIFC Asset Management

Co-Chair, Stephan Connelly, CFA, Director, Star Mountain

Vice Chair, Jonathan Dong, CFA, Associate Research Analyst, Global Credit Research-Taxable Fixed Income, Lord Abbett

Vice Chair, David Motsonelidze, CFA, Director of Macro Strategy, Stifel Investment

Vice Chair, Patrick Nessenthaler, CFA, CAIA, Vice President, Real Estate Industries, Institutional Markets

Chair Emeritus, Chris Cutler, CFA, Founder, Manager Analysis Services, LLC

Business Management

Chair, Alton Crooks, CFA, Managing Principal, Fidelis Capital LLC

Vice Chair, Tim Frazier, SVP, Director of Sales & Marketing Concertiv

Vice Chair, Christopher Flens-Batina, Relationship Banker, Capital One Bank

Career Development

Chair, Randall Thomas, Founding Partner, Calice Group

Vice Chair, Ira Kaplan, Director, Prosperity Life Insurance Co.

Board Appointed Liaison, Thomas Brigandi, CFA, Infrastructure and Project Finance Lead Analyst, Moody's Investors Service

Financial Reporting and Analysis

Chair, Arthur Fliegelman, CFA, Analyst, U.S. Department of the Treasury

Vice Chair, Jane Soong, CFA, CPA, Executive Director of Finance, Corporate Controller, SCYNEXIS, Inc

Vice Chair, Eric Linder, CFA, Founder, President, SavaNet LLC

Fintech

Chair, Valisha Graves, Executive Director, Morgan Stanley

Vice Chair, Maribeth Martorana, CFA, Strategic Advisor, Ai4 Credit

Vice Chair, Peter Seremitis, CFA, Global Account Manager, Amazon Web Services

Global Investing

Co-Chair, Martin Pomp, CFA, President, Sutton Capital International, LLC

Co-Chair, David Allen, CFA, Institutional Director, Schroders

Chair Emeritus, Thomas Brigandi, CFA, Infrastructure and Project Finance Lead Analyst, Moody's Investors Service

Institutional Asset Management

Chair, Leo Schmidt, CFA, Chief Investment Officer, River Eddy Capital Management

Vice Chair, Brandon Neal, Treasury Markets Directorate, Federal Reserve Bank of New York

Vice Chair, Vincent Simmons, CFA, Senior Credit Trader, Commerzbank AG

Investment Strategy Roundtable

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation

Vice Chair, Igor Axenov, CFA

Vice Chair, Vincent Leung, CFA, VP, Credit Suisse

Membership Committee

Chair, David Motsonelidze, CFA, Vice President, Global Macro Investment Strategist, Stifel
Vice Chair, Ron Dadina, CFA, Director, MUFG

New Jersey

Chair, Jodie Gunzberg, CFA, Managing Director, Chief Investment Strategist, Graystone Consulting, Morgan Stanley
Vice Chair, Gino Reina, CFA, Portfolio Manager, SECOR

Performance and Risk Analytics

Co-Chair, John Matsikas, CFA, CIPM, SVP, Investment Performance, Voya Investment Management
Co-Chair, Richard Ottoo, PhD, CFA, FRM, VP, Certifications and Educational Programs Group/FRM Program, Global Association of Risk Professionals

Private Wealth Management

Chair, Michael Reuben, CFA, CAIA, CFP, Financial Advisor, Wells Fargo Advisors, LLC
Vice Chair, Lorraine Monick, CFP, CFA, CAIA, Managing Director, Multi-Family Office & Platinum Group Silicon Valley Bank
Vice Chair, Marc Ordonez, CFA, CMT, Investment Management, Lido Advisors LLC

Quantitative Investing

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation
Vice Chair, Peg DiOrio, CFA, SVP, Global Equities, Voya Investment Management

Sustainable Investing

Co-Chair, Adam Phillips, Adjunct Professor, New York University
Co-Chair, Henrik Jeppesen, CFA, Head of Investor Outreach for North America, Carbon Tracker
Vice Chair, Emily Thomas, CFA, Lead ESG Analyst, Morgan Stanley
Vice Chair, Kuni Chen, CFA, Head of ESG Integration, Seeds Investor

Value Investing

Co-Chair, Andrew Auslander, CFA, FRM, Managing Principal, Agile Financial LLC
Co-Chair, Mathias Strohfeltdt, CFA, Senior Research Analyst, Invesco US
Vice Chair, Michael Chang, CFA, Senior Analyst, Sycale Advisors
Vice Chair, Alistair duPont, CFA, Investment Banking Associate, Avendus Capital

Veterans Roundtable

Chair, Won Palisoul, CFA, Special Assistant to the Under Secretary of Defense for Personnel and Readiness, Office of the Secretary of Defense
Vice Chair, Matthew Noll, CFA, Founder, Ion Capital Advisors
Veterans Consultant, Airforce, Anthony J. Cipriano, D.M.D, President, Iron Gate Chapter, Air Force Association

Young Leaders

Co-Chair, Maggie Wong, CFA, Senior Product Manager, Silicon Valley Bank
Co-Chair, Caroline Busby, CFA, Institutional iShares FI ETF Strategist, BlackRock
Vice Chair, Alex Schumacher, CFA, Manager of Finance and Regulation, Rally
Vice Chair, Rohan Parikh, CFA, FRM, Director, Emerging Market Trading, Natixis CIB
Vice Chair, Tiffany Li, FX and Rates Trading Support Associate, Credit Agricole
Vice Chair, Danielle McKenna, CFA, Analyst, Apis Capital Advisors
Vice Chair, Rodrigo Cortez, CFA, Investment Banking Professional
Chair Emeritus, Atit Amin, CFA, Associate, Pivot Investment Partners



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THOUGHT LEADERS
IN THE INVESTMENT
INDUSTRY THINK.**

**OR YOU CAN SHAPE
THE CONVERSATION.**

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**CFA INSTITUTE'S AMERICAS
VOLUNTEER OF THE YEAR
AWARD WINNER**

Thomas Brigandi, CFA

CFA Society New York

Congratulations to CFA Society New York Vice Chair **Thomas Brigandi, CFA**, who was honored by CFA Institute—as part of the *2021 Society Awards Programme*—with the **Volunteer of the Year Award** for the Americas region.

Mr. Brigandi won the award in recognition of his tireless volunteer efforts in service of both CFA Society New York and the CFA Society® community globally.

Thank you for a successful year of quality programming!

CFA Society New York's volunteer leaders, members, speakers, attendees and staff team made 2021 another phenomenal year for our meetings and events. The Society helped to keep the New York area investment management community – and colleagues around the globe – engaged throughout the year with world class moderators and panelists providing expert perspectives on relevant topics.

122 WITH **13,415**
events live stream views

109 Leadership Group meetings

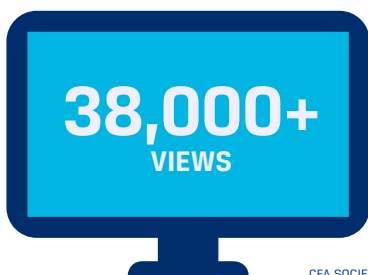
3,165 member registrations



Expert insights

& community driven-discussion on topics that included:

- ESG Investing
- Alternative Investments
- Diversity & Inclusion
- Value Investing
- Global Investing Policy
- Asset Owner Series



OF RECORDED CFA SOCIETY NEW YORK CONTENT

KEEPING VALUABLE CONTENT EASILY ACCESSIBLE FOR OUR MEMBERS AND THE INVESTMENT MANAGEMENT COMMUNITY

162 CFA SOCIETY
CONTENT PORTALS

42 UNIVERSITY
CONTENT PORTALS

CFA SOCIETY NEW YORK MAKES THE MAJORITY OF OUR CONTENT AVAILABLE TO A GLOBAL AUDIENCE

CFA Society New York makes a positive impact on the careers of investment management professionals in New York and around the globe because of the efforts and expertise of our Members.

Membership is open to all, with categories for CFA Charterholders, CFA Candidates and non-CFA Professionals.

join or renew as a member today!

